

SERVICES INCLUDED IN OUR MONTHLY FEES

Agreement	<ul style="list-style-type: none"> ✓ You own the client and your business ✓ Fully documented transfer release ✓ Referral & JV agreements available 	Software	<ul style="list-style-type: none"> ✓ Choice: Range of approved providers including Visi & XPlan ✓ Generous subsidy based on production
Business Development & Succession Planning	<ul style="list-style-type: none"> ✓ We work with each firm re goals and objectives ✓ Fully documented succession strategy (part or whole, staged or immediate) ✓ Access to funding, including top-up ✓ Referral Network of business coaches 	Compliance	<ul style="list-style-type: none"> ✓ In-house audit team ✓ Good practice manual, online or hard copy ✓ Staff compliance induction for your team members as they start ✓ Printed and soft copy FNA provided ✓ Dual branding opportunities
Marketing	<ul style="list-style-type: none"> ✓ Professionally printed FSG plus electronic personalised adviser insert ✓ Client newsletter ✓ Marketing flyers and support material ✓ Assistance with PR, sponsorship, advertising and promotions 	Commissions	<ul style="list-style-type: none"> ✓ Paid electronically on the 15th and 31st of each month ✓ DMS system, fully backed up and reconciled ✓ Fee for service & referral fee catered for ✓ Group & individual statements for your firm at your discretion
Transition Support	<ul style="list-style-type: none"> ✓ A high level of expertise in transition management ✓ Draft letters to clients ✓ Full induction for you and your team 	Technical	<ul style="list-style-type: none"> ✓ In-house resources and access to technical team at Paragem ✓ Referral network of industry resources
PI Cover	<ul style="list-style-type: none"> ✓ Sourced by dealer to save you work 	Training	<ul style="list-style-type: none"> ✓ Kaplan (Tribeca) online and tailored training plans on request ✓ 2 day AGM & PD conference ✓ 2 day Staff Support Day for your admin team ✓ Exclusive Sales Leadership course & discussion groups
Research	<ul style="list-style-type: none"> ✓ Share and managed fund research ✓ Selective T/E and structured products (inc. agribusiness, reverse mortgage & MIS) ✓ Economic & market commentary ✓ Range of specialist products and share services ✓ Monthly updated Approved Product List ✓ Monthly Professional Development points via videos or online tests ✓ Model Portfolios (use is optional) ✓ Fund manager panels at PD days ✓ Access to external consultants and research houses 	Licence	<ul style="list-style-type: none"> ✓ Broad coverage Risk, Super, investment – no GI, derivatives or discretionary trading portfolios.

